



OASIS-D1: OASIS Update for CY 2020

Introduction

Changes to the OASIS data set and data collection guidance were finalized in the Calendar Year (CY) 2019 Home Health (HH) Final Rule, CMS 1689-FC. The new data set, to be referenced as OASIS-D1, includes minor revisions consisting of the addition of two existing items to the Follow-Up assessment instrument (with corresponding revisions to the All Items instrument). Guidance revisions include a change from required to optional data collection at certain time points for 23 items. These changes are described in detail in this update. Revised OASIS-D1 All Items and Follow-Up instruments are posted. The posted OASIS-D Guidance Manual, along with this update document, will serve as guidance for OASIS-D1. There is no revised version of the OASIS-D Guidance Manual for 2020.

The changes to the OASIS data set and data collection guidance are effective for OASIS assessments with an M0090 Date Assessment Completed of January 1, 2020 or later.

To support the transition to the Patient-Driven Groupings Model (PDGM), the changes also apply to RFA 4 Recertification assessments with an M0090 Date Assessment Completed date on or after December 27, 2019, where that assessment must provide the Health Insurance Prospective Payment System (HIPPS) code for a PDGM payment episode that begins January 1, 2020 or later.¹

OASIS-D1 Instruments and OASIS-D Guidance Manual Chapter 2

The OASIS-D1 All Items instrument and the OASIS-D1 Follow-Up instrument are revised to accommodate the changes effective January 1, 2020. The revised versions supersede the versions in the OASIS-D Guidance Manual, Chapter 2. No changes to the other time point versions of the instrument are required (Start of Care, Resumption of Care, Transfer, Discharge, Death at Home). For users' convenience, CMS has posted the revised OASIS-D1 All Items and Follow-Up instruments, with the other, unchanged time points versions of the instrument, in a zip file on the OASIS Data Sets webpage: <https://www.cms.gov/medicare/quality-initiatives-patient-assessment-instruments/homehealthqualityinits/oasis-data-sets.html>.

Two existing items are added to the Follow-Up time point for data collection. Home health agencies (HHAs) must collect data on these items at Follow-Up, in addition to all other required time points.

¹ See additional information about RFA 4 Recertification assessments on pages 2-3 under "Instructions for RFA 4 Recertification Assessments".

- M1033 Risk for Hospitalization
- M1800 Grooming

Data collection at certain time points for 23 existing OASIS items is optional. HHAs may enter an equal sign (=) for these items, at the specified time points only. This is a new valid response for these items, at these time points; the items themselves are unchanged.

Start of Care/Resumption of Care (SOC/ROC)

- M1910 Fall risk Assessment

Transfer (TRN) and Discharge (DC)

- M2401a Intervention Synopsis: Diabetic Foot Care
- M1051 Pneumococcal Vaccine
- M1056 Reason Pneumococcal Vaccine not received

Follow-Up (FU)

- M1021 Primary Diagnosis
- M1023 Other Diagnoses
- M1030 Therapies
- M1200 Vision
- M1242 Frequency of Pain Interfering with Activity
- M1311 Current Number of Unhealed Pressure Ulcers at Each Stage
- M1322 Current Number of Stage 1 Pressure Injuries
- M1324 Stage of Most Problematic Unhealed Pressure Ulcer that is Stageable
- M1330 Does this patient have a Stasis Ulcer
- M1332 Current Number of Stasis Ulcers that are Observable
- M1334 Status of Most Problematic Stasis Ulcer that is Observable
- M1340 Does this patient have a Surgical Wound
- M1342 Status of the Most Problematic Surgical Wound that is Observable
- M1400 Short of Breath
- M1610 Urinary Incontinence or Urinary Catheter Presence
- M1620 Bowel Incontinence Frequency
- M1630 Ostomy for Bowel Elimination
- M2030 Management of Injectable Medications
- M2200 Therapy Need

Instructions for RFA 4 Recertification Assessments

To allow for the 5-day recertification window for episodes of continuous care that begin 1/1/2020 through 1/5/2020, there may be cases where the RFA 4 - Recertification assessment is completed in the last five days of 2019. In these cases, CMS is temporarily waiving the requirement that HHAs enter the

actual OASIS completion date in M0090, and instead enter the M0090 date of 1/1/2020. HHAs should be aware that in the event they attempt to submit the RFA 4 - Recertification assessment with an artificial M0090 date of 1/1/2020 prior to 1/1/2020, they will receive a fatal error preventing the transmission of the assessment. Therefore, HHAs should not transmit these assessments until 1/1/2020.

This waiver will not be applicable to any other assessment performed either before or after this brief period, when all existing OASIS instructions regarding item M0090 apply. CMS is issuing this waiver, which is essentially a one-time exception, to facilitate the transition to the Patient-Driven Groupings Model (PDGM). CMS will alert State surveyors of this one-time exception.

Table 1: Summary of Instructions for RFA 4 Recertification Assessments

Transition Recertifications:	HHA Action:
Recertification (RFA 4) with M0090 date of 12/27/19 – 12/31/19 for an episode where that assessment must provide the HIPPS code for a PDGM payment episode that begins January 1, 2020 or later	Assess using the OASIS-D1 Follow-up Assessment instrument, and submit the RFA 4 Recertification assessment with the artificial M0090 date of 1/1/2020. Submit this assessment no earlier than 1/1/2020.

Transition Recertification Example:

- SOC date November 3, 2019
- Recertification assessment (using OASIS-D1 Follow-up instrument) is completed on December 29, 2019 (for the subsequent episode of continuous care beginning January 2, 2020)
- Report artificial M0090 date of 1/1/2020
- Submit this assessment no earlier than 1/1/2020.